HPMG
GROWING TOGETHER

ANNEXURE – B

FORMAT FOR INVESTOR COMPLAINTS DATA TO BE DISPLAYED BY STOCK BROKERS AND DP ON THEIR RESPECTIVE WEBSITES

Data for month ending Oct 2026

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	-	-	-	-	-		-
2	SEBI (SCORES 2.0)	-	-	-	-	-		-
3	Stock Exchanges	-	-	-	-	-		-
4	Other Sources (if any)	-	-	-	-	-		-
5	Grand Total	-	-	-	-	-		-

HPMG Shares and Securities Pvt. Ltd.
B-201/202 Rajkamal , S V Road,Next to Shreeji Arcade, Kandivali West, Mumbai - 400 067.
Tel :(B)+91 22 62317600, (F)+91 22 62317689
Email : info@hpmgshares.com

CIN NO.:U67190MH2007PTC176790
SEBI Regd.No.: DP CDSL :IN -DP-163-2015
NSE/BSE/MSEIL: INZ000187530
Merchant Banker Category I : INM000012607

HPMG

GROWING TOGETHER

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	April – 2026	-	-	-	-
2	May - 2026	-	-	-	-
3	June - 2026	-	-	-	-
4	July - 2026	-	-	-	-
5	August - 2026	-	-	-	-
6	September - 2026	-	-	-	-
7	October - 2026	-	-	-	-
8	November - 2026				
9	December - 2026				
10	January – 2027				
11	February – 2027				
12	March - 2027				
	Grand Total	-	-	-	-

*Should include complaints of previous months resolved in the current month, if any.
**Should include total complaints pending as on the last day of the month, if any.
^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

HPMG Shares and Securities Pvt. Ltd.
B-201/202 Rajkamal , S V Road,Next to Shreeji Arcade, Kandivali West, Mumbai - 400 067.
Tel :(B)+91 22 62317600, (F)+91 22 62317689
Email : info@hpmgshares.com

CIN NO.:U67190MH2007PTC176790
SEBI Regd.No.: DP CDSL :IN -DP-163-2015
NSE/BSE/MSEIL: INZ000187530
Merchant Banker Category I : INM000012607

Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received During the year	Received During the year	Pending at the end of the year
1	2021-22	-	-	-	-
2	2022-23	-	-	-	-
3	2023-24	-	-	-	-
4	2024-25	-	-	-	-
5	2025-26	-	-	-	-
6	2026-27	-			
	Grand Total	0	0	0	0

HPMG Shares and Securities Pvt. Ltd.
B-201/202 Rajkamal , S V Road,Next to Shreeji Arcade, Kandivali West, Mumbai - 400 067.
Tel :(B)+91 22 62317600, (F)+91 22 62317689
Email : info@hpmgshares.com

CIN NO.:U67190MH2007PTC176790
SEBI Regd.No.: DP CDSL :IN -DP-163-2015
NSE/BSE/MSEIL: INZ000187530
Merchant Banker Category I : INM000012607